

FORM PTO-1390 (Modified) (REV 10-95)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>87647-98R199</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR <b>09/117586</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US97/01870</b>	INTERNATIONAL FILING DATE <b>31 January 1997 (31.01.97)</b>	PRIORITY DATE CLAIMED <b>31 January 1996 (31.01.96)</b>		
TITLE OF INVENTION <b>FOOD AND VITAMIN PREPARATIONS CONTAINING THE NATURAL ISOMER OF REDUCED FOLATES</b>				
APPLICANT(S) FOR DO/EO/US <b>Steven W. Bailey and June E. Ayling</b>				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2))             <ol style="list-style-type: none"> <li><input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210).</li> <li><input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))             <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</li> <li><input checked="" type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409).</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</li> </ol>				
<p><b>Items 13 to 18 below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment. A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Certificate of Mailing by Express Mail</li> <li><input checked="" type="checkbox"/> Other items or information:</li> </ol>				
<p><b>One (1) Verified Statement Claiming Small Entity Status</b></p>				

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.492(e))

INTERNATIONAL APPLICATION NO.

PCT/US97/01870

ATTORNEY'S DOCKET NUMBER

87647.98R199

20. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

<input type="checkbox"/> Search Report has been prepared by the EPO or JPO .....	\$930.00
<input checked="" type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) .....	\$720.00
<input type="checkbox"/> No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....	\$790.00
<input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....	\$1,070.00
<input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) .....	\$98.00

**CALCULATIONS PTO USE ONLY****ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$720.00

Surcharge of \$130.00 for furnishing the oath or declaration later than  20  30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	78 - 20 =	58	x \$22.00	\$1,276.00
Independent claims	15 - 3 =	12	x \$82.00	\$984.00
Multiple Dependent Claims (check if applicable).			<input checked="" type="checkbox"/>	\$270.00
			<b>TOTAL OF ABOVE CALCULATIONS</b>	<b>\$3,250.00</b>
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable).			<input checked="" type="checkbox"/>	\$1,625.00
			<b>SUBTOTAL</b>	<b>\$1,625.00</b>
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).			+ \$0.00	\$0.00
			<b>TOTAL NATIONAL FEE</b>	<b>\$1,625.00</b>
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).			<input checked="" type="checkbox"/>	\$40.00
			<b>TOTAL FEES ENCLOSED</b>	<b>\$1,665.00</b>
			Amount to be: refunded	\$
			charged	\$

- A check in the amount of \_\_\_\_\_ to cover the above fees is enclosed.
- Please charge my Deposit Account No. **10-0223** in the amount of **\$1,665.00** to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **10-0223** A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

Susan J. Braman  
 Jaeckle Fleischmann & Mugel, LLP  
 39 State Street  
 Rochester, New York 14614-1310  
 Tel: (716) 262-3640  
 Fax: (716) 262-4133

*Susan J. Braman*

SIGNATURE

*Susan J. Braman*

NAME

*34,103*

REGISTRATION NUMBER

*July 31, 1998*

DATE

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Bailey et al.

Docket No.

87647.98R199

Serial No.  
To be assignedFiling Date  
HerewithExaminer  
UnknownGroup Art Unit  
Unknown**Invention: FOOD AND VITAMIN PREPARATIONS CONTAINING THE NATURAL ISOMER OF  
REDUCED FOLATES**I hereby certify that this Signed Verified Statement Claiming Small Entity Status (2 pgs)*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on

July 31, 1998  
*(Date)*Susan J. Braman*(Typed or Printed Name of Person Mailing Correspondence)*Susan J. Braman*(Signature of Person Mailing Correspondence)*EE817773730US*("Express Mail" Mailing Label Number)*

Note: Each paper must have its own certificate of mailing.

**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): Bailey et al.

Docket No.

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Serial No.  
To be assignedFiling Date  
HerewithExaminer  
UnknownGroup Art Unit  
UnknownInvention: **FOOD AND VITAMIN PREPARATIONS CONTAINING THE NATURAL ISOMER OF  
REDUCED FOLATES**I hereby certify that this Signed Declaration/Power of Attorney (3 pgs)  
*(Identify type of correspondence)*is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
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<b>Invention: FOOD AND VITAMIN PREPARATIONS CONTAINING THE NATURAL ISOMER OF REDUCED FOLATES</b>			

I hereby certify that this Transmittal Cover Letter (2 pgs)*(Identify type of correspondence)*

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Serial No.  
To be assignedFiling Date  
HerewithExaminer  
UnknownGroup ArtUnit  
Unknown**Invention: FOOD AND VITAMIN PREPARATIONS CONTAINING THE NATURAL ISOMER OF  
REDUCED FOLATES**

I hereby certify that this Signed Assignment (2 pgs) with Cover Sheet (2 pgs)  
*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under  
37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on  
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*(Date)*

Susan J. Braman*(Typed or Printed Name of Person Mailing Correspondence)**Susan J. Braman**(Signature of Person Mailing Correspondence)*EE817773730US*("Express Mail" Mailing Label Number)*

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